



Docket No.: 4688P014

2611 #7  
02-20-02  
wh

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

DAN KIKINIS

Application No.: 09/752,612

Filed: December 29, 2000

For: **METHOD AND APPARATUS FOR  
FINDING THE SAME OR SIMILAR  
SHOWS**

Art Group: unassigned

Examiner: unassigned

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**FEB 15 2002**  
**Technology Center 2600**

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure under 37 CFR § 1.56 and in accordance with 37 C.F.R. § 1.97, enclosed is a copy of Information Disclosure Citation Form PTO/SB/08. Copies of the references cited on PTO/SB/08 are enclosed herewith. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

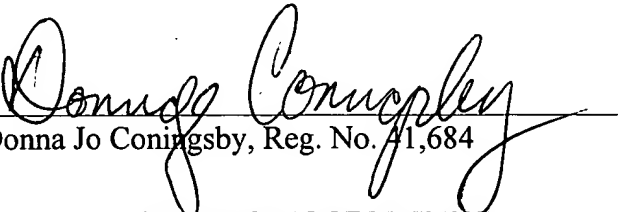
Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

Please charge any additional fee due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal is enclosed for this purpose.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

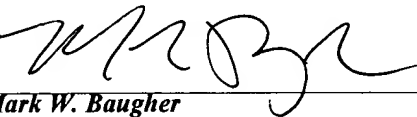
Date: January 23, 2002

  
Donna Jo Coningsby, Reg. No. 41,684

12400 Wilshire Blvd., 7th Floor  
Los Angeles, California 90025  
(503) 684-6200

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 to the Patent and Trademark Office on: January 23, 2002.

  
Mark W. Baugher 01/23/02  
Date

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<div>Substitute for form 1449A/PTO</div> <div><b>INFORMATION DISCLOSURE</b></div> <div><b>STATEMENT BY APPLICANT</b></div> <div>(use as many sheets as necessary)</div>				<div>Complete if Known</div> <table><tr><td>Application Number</td><td>097752,612</td></tr><tr><td>Filing Date</td><td>December 29, 2000</td></tr><tr><td>First Named Inventor</td><td>Dan Kikinis</td></tr><tr><td>Group Art Unit</td><td>unassigned</td></tr><tr><td>Examiner Name</td><td>unassigned</td></tr><tr><td>Attorney Docket Number</td><td>4688P014</td></tr></table>		Application Number	097752,612	Filing Date	December 29, 2000	First Named Inventor	Dan Kikinis	Group Art Unit	unassigned	Examiner Name	unassigned	Attorney Docket Number	4688P014
Application Number	097752,612																
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First Named Inventor	Dan Kikinis																
Group Art Unit	unassigned																
Examiner Name	unassigned																
Attorney Docket Number	4688P014																
Sheet	1	of	1														

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No.¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code² (if known)			
	1	5,414,773		Handelman	05-09-1995	
	2	5,479,268		Young, et al.	12-26-1995	
	3	5,532,754		Young, et al.	07-02-1996	
	4	5,737,028		Bertram, et al.	04-07-1998	
	5	5,751,282		Girard, et al.	05-12-1998	
	6	5,818,441		Throckmorton, et al.	10-06-1998	
	7	5,841,563		Effenberger	11-24-1998	
	8	5,900,915		Morrison	05-04-1999	
	9	5,926,168		Fan	07-20-1999	
	10	5,956,456		Bang, et al.	09-21-1999	
	11	5,999,167		Marsh, et al.	12-07-1999	
	12	6,029,195		Herz	02-22-2000	
	13	6,075,575		Schein, et al.	06-13-2000	
	14	6,167,188		Young, et al.	12-26-2000	
	15	6,205,485		Kikinis	03-20-2001	
	16	6,233,389		Barton, et al.	05-15-2001	
	17	6,271,831		Escobosa, et al.	08-07-2001	

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[illegible]

Examiner Signature		Date Considered	
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\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT (\$) 0.00

## Complete if Known

Application Number 097/52,612  
Filing Date December 29, 2000  
First Named Inventor Dan Kikinis  
Examiner Name unassigned  
Group/Art Unit unassigned  
Attorney Docket No. 4688P014

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## METHOD OF PAYMENT (check one)

- ☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None  
☐ Deposit Account

Deposit Account Number

02-2666

Deposit Account Name

Blakely, Sokoloff, Taylor & Zafman LLP

The Commissioner is authorized to: (check all that apply)

- ☐ Charge fee(s) indicated below ☐ Credit any overpayments  
☐ Charge any additional fee(s) during the pendency of the application  
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
101	740	201	370	Utility filing fee	
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	
SUBTOTAL (1)					(\$)

### 2. EXTRA CLAIM FEES

Total Claims  \*\* =  X  =   
Independent Claims  =  X  =   
Multiple Dependent  =

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
103	18	203	9	Claims in excess of 20	
102	84	202	42	Independent claims in excess of 3	
104	280	204	140	Multiple Dependent claim, if not paid	
109	84	209	42	**Reissue independent claims over original patent	
110	18	210	9	**Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)					(\$)

\*\*or number previously paid, if greater, For Reissues, see below

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for ex parte reexamination	
112	920 *	112	920 *	Requesting publication of SIR prior to Examiner action	
113	1,840 *	113	1,840 *	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\* Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$)

## SUBMITTED BY

Complete (if applicable)

Name (Print/Type) Donna Jo Coningsby Registration No. 41,684 Telephone (503) 684-6200  
Signature *Donna Jo Coningsby* Date 01/23/02

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